

# MINUTES OF AUDIT AND ETHICS COMMITTEE

6 JUNE 2019

## PRESENT:

**Members of the Committee:** Mr P Dudfield (Chairman), Councillors McQueen, Mistry and Pacey-Day

**Officers:** Mannie Ketley (Head of Corporate Resources and Chief Financial Officer), Jon Illingworth (Financial Services Manager), Jane Heppel (Interim Financial Consultant), Chris Green (Corporate Assurance and Improvement Manager) and Veronika Beckova (Democratic Services Officer)

**In attendance:** Grant Patterson (Director – Grant Thornton) and Mary Wren (Assistant Manager – Grant Thornton)

## 1. MINUTES

The minutes of the meeting held 26 March 2019 were approved and signed by the Chairman.

## 2. APOLOGIES

Apologies for absence from the meeting were received from Mr J Eves (Vice-Chairman) and Councillor Cranham.

## 3. DRAFT STATEMENT OF ACCOUNTS 2018/19

The committee considered the report presented by the Interim Financial Consultant (Part 1 – Agenda Item 4).

### RESOLVED THAT –

- (1) the Financial Services Team be thanked for their work; and
- (2) the summary of the Draft Statement of Accounts 2018/19 be noted.

## 4. 2018/19 EXTERNAL AUDIT – INTERIM PROGRESS REPORT

The committee considered the report presented by Grant Thornton, the Council's appointed external auditor (Part 1 – Agenda Item 5).

**RESOLVED THAT** – the 2018/19 external audit interim progress report be noted.

## 5. INFORMING THE AUDIT RISK ASSESSMENT FOR RUGBY BOROUGH COUNCIL

The committee considered the report presented by Grant Thornton, the Council's appointed external auditor (Part 1 – Agenda Item 6).

**RESOLVED THAT** – the committee confirmed that it is satisfied with arrangements currently in place as identified in the report.

**6. ANNUAL REPORT OF THE CORPORATE ASSURANCE AND IMPROVEMENT MANAGER**

The committee considered the report presented by the Corporate Assurance and Improvement Manager (Part 1 – Agenda Item 7).

**RESOLVED THAT** – the report be noted.

**7. ANNUAL GOVERNANCE STATEMENT 2018/19**

The committee considered the report presented by the Corporate Assurance and Improvement Manager (Part 1 – Agenda Item 8).

**RESOLVED THAT** – the Annual Governance Statement 2018/19 be approved.

**8. MOTION TO EXCLUDE THE PUBLIC UNDER SECTION 100(A)(4) OF THE LOCAL GOVERNMENT ACT 1972**

**RESOLVED THAT** – under Section 100(A) (4) of the Local Government Act 1972 the public be excluded from the meeting for the following items on the grounds that they involved the likely disclosure of information defined in paragraphs 1, 2 and 3 of Schedule 12A of the Act.

**9. LIMITED ASSURANCE INTERNAL AUDIT REPORTS**

The committee considered the private report presented by the Corporate Assurance and Improvement Manager (Part 2 – Agenda Item 1).

**RESOLVED THAT** – the report be noted.

**10. WHISTLE BLOWING INCIDENTS – STANDING ITEM – TO RECEIVE ANY UPDATES**

There were no issues to report.

**11. FRAUD AND CORRUPTION ISSUES – STANDING ITEM – TO RECEIVE ANY UPDATES**

The Corporate Assurance and Improvement Manager provided the committee with an update on the issue raised at the last meeting.

**CHAIRMAN**