

# MINUTES OF AUDIT AND ETHICS COMMITTEE

28 JULY 2022

## PRESENT:

Mr P Dudfield (Chairman), Mr J Eves (Vice Chairman), Councillors Mistry, Roodhouse and Willis

## 12. MINUTES

The minutes of the meeting held on 30 June 2022 were approved and signed by the Chairman.

## 13. APOLOGIES

Apologies for absence from the meeting were received Councillor Mrs Roberts.

## 14. PRE AUDITED STATEMENT OF ACCOUNTS 2021/22

The Committee considered the report of the Chief Officer for Finance and Performance (Part 1 – Agenda Item 4) marked as to follow on the agenda, concerning the pre audited Statement of Accounts prior to completion of the external audit.

It was noted that a briefing paper would be circulated, or a short briefing may be held to update the Committee on progress of the audit, depending on whether there were matters of note to report.

The Committee placed on record its thanks to the finance team for their hard work and achievement in finalising the report within the deadline.

**RESOLVED THAT** – the summary of the pre audited Statement of Accounts 2021/22 be noted.

## 15. ANNUAL GOVERNANCE STATEMENT

The Committee considered the report of the Chief Officer for Legal and Governance (Part 1 – Agenda Item 5) concerning the Annual Governance Statement.

**RESOLVED THAT** – the Annual Governance Statement for 2021/22 be approved.

## 16. INTERNAL AUDIT PROGRESS UPDATE

The Committee considered the report of the Chief Officer for Finance and Performance (Part 1 – Agenda Item 6) concerning progress towards delivery of the internal audit plan and providing an update on service performance.

**RESOLVED THAT** – the report be noted.

**17. MOTION TO EXCLUDE THE PUBLIC UNDER SECTION 100(A)(4) OF THE LOCAL GOVERNMENT ACT 1972**

**RESOLVED THAT** – under Section 100(A) (4) of the Local Government Act 1972 the public be excluded from the meeting for the following items on the grounds that they involved the likely disclosure of information defined in paragraphs 1, 2 and 3 of Schedule 12A of the Act.

**18. LIMITED ASSURANCE INTERNAL AUDIT REPORT**

The Committee received the report of the Chief Officer for Finance and Performance (Part 2 – Agenda Item 1) concerning details of a recent internal audit.

**RESOLVED THAT** – the item be deferred until the next meeting of the Committee.

**19. WHISTLEBLOWING COMPLAINTS AND RESPONSE**

The Corporate Assurance Manager and Transformation Lead provided Members with a verbal update in relation to an ongoing investigation.

**RESOLVED THAT** – the verbal update be noted.

**20. WHISTLEBLOWING INCIDENTS – STANDING ITEM – TO RECEIVE ANY UPDATES**

There were no issues to report.

**21. FRAUD AND CORRUPTION ISSUES – STANDING ITEM – TO RECEIVE ANY UPDATES**

There were no issues to report.

**CHAIRMAN**