

# MINUTES OF AUDIT AND ETHICS COMMITTEE

29 SEPTEMBER 2022

## PRESENT:

Mr J Eves (Vice Chairman in the Chair), Councillors Mistry and Roodhouse

## 22. MINUTES

The minutes of the meeting held on 28 July 2022 were approved and signed by the Chairman.

## 23. APOLOGIES

Apologies for absence from the meeting were received from Mr P Dudfield (Chairman), Councillors Mrs Roberts and Willis.

## 24. DECLARATIONS OF INTEREST

Item 1 of Part 2 – Limited Assurance Internal Audit Report – Councillor Mistry (non-pecuniary interest as defined by the Council's Code of Conduct for Councillors by virtue of his involvement with the Indian Community Centre).

## 25. INTERNAL AUDIT PROGRESS UPDATE

The Committee considered the report of the Chief Officer for Finance and Performance (Part 1 – Agenda Item 4) concerning progress towards delivery of the internal audit plan and providing an update on service performance.

### RESOLVED THAT –

- 1) the internal audit progress update be noted; and
- 2) the amendments to the internal audit plan be approved.

## 26. MOTION TO EXCLUDE THE PUBLIC UNDER SECTION 100(A)(4) OF THE LOCAL GOVERNMENT ACT 1972

**RESOLVED THAT** – under Section 100(A) (4) of the Local Government Act 1972 the public be excluded from the meeting for the following items on the grounds that they involved the likely disclosure of information defined in paragraphs 1, 2 and 3 of Schedule 12A of the Act.

## 27. LIMITED ASSURANCE INTERNAL AUDIT REPORT

The Committee received the report of the Chief Officer for Finance and Performance (Part 2 – Agenda Item 1) concerning details of a recent internal audit.

The Chief Officer for Operations and Traded Services attended the meeting to answer questions.

**RESOLVED THAT** – the report be noted.

**28. WHISTLEBLOWING COMPLAINTS AND RESPONSE**

The Committee considered the private report of the Chief Officer for Finance and Performance (Part 2 – Agenda Item 2) concerning results of internal audit work completed following recent whistleblowing complaints received.

**RESOLVED THAT** – the report be noted.

**29. WHISTLEBLOWING INCIDENTS – STANDING ITEM – TO RECEIVE ANY UPDATES**

One case was investigated by the Corporate Assurance Manager and Transformation Lead.

**RESOLVED THAT** – the Committee be provided with an update at the next meeting.

**30. FRAUD AND CORRUPTION ISSUES – STANDING ITEM – TO RECEIVE ANY UPDATES**

There were no issues to report.

**CHAIRMAN**